

AUTHORIZATION AND OPERATION OF A DISCRETIONARY ACCOUNT

授權及操作委託帳戶

A/C 帳戶編號: _____ Account Name 帳戶名稱: _____

致: 盈泰証券有限公司

To : Wintech Securities Ltd.

Pursuant to paragraph 7.1(a) of the Code of Conduct for Persons Licensed by or Registered with the Securities and Futures Commission published in April 2003 with the Securities and Futures Commission, I/we hereby specifically request and authorize the opening and maintaining of a Discretionary Account with you and that you have my/our authority or your designated employee _____ (Name of Account Executive) who must also be a registered person, to effect Transaction in securities dealing for me/us on terms of the Cash Client's Agreement at your absolute discretion and at my/our risk.

根據證券及期貨事務監察委員會 2003 年 4 月所出版的持牌人或註冊人操守準則第 7.1(a)段, 本人(等)茲特別要求及授權 貴司開立及操作一個委託帳戶, 並授權予 貴司或 貴司指定的職員 _____ (他應是一位註冊人)全權替本人(等)交易買賣證券, 依照現金客戶協議書行事, 本人(等)願自負損失。

I/We hereby confirm that I/we need to confirm with you at least on an annual basis whether I/we wish to specifically revoke this authority even in the absence of your notification to me/us. However, in the absence of my objection, such authorization is deemed to be renewed provided to receive from you a notice 14 days before expiry and a written confirmation within 1 week of such deemed renewal of authorization.

本人(等)茲確認本人(等)需至少每年以書面通知 貴司此項授權需否撤銷, 即使收不到 貴司是否再續的通知。惟若 貴司於此項授權期滿前 14 日發出通知予 人, 又於期滿後一周內, 致函確認已續約此項授權, 則在 人(等)未提出反對下, 可被視為已獲授權續約。

I/We further confirm that actions undertaken by you within the scope of the Cash Client's Agreement are legally binding upon me/us and that the Transaction results are solely for the benefit of me/us and at my/our own risk.

本人(等)茲再確認 貴司依照現金客戶協議書所作出的行動對本人(等)是有法律約束力而其交易結果全算在本人(等)的利益及損失。

Date:
日期

Signed by Client
客戶簽署

For Office Use Only	Witnessed by:	Signature verified By:	Approved by:
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